

01-14-02 A

JCS 49 U.S. 20/11/02

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

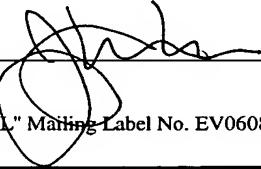
Attorney Docket No.	SP01-002	Total Pages	2
First Named Inventor or Application Identifier	Antos, A. Joseph		
Title : Optical Fiber And Preform, Method Of Manufacturing Same, And Optical Component Made Therefrom			
Express Mail Label No.	EV 060836334 US		

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on 11 Jan 2002

(Date)

Signature 

"EXPRESS MAIL" Mailing Label No. EV060836334US

J1046 U.S. PTO  
10/04/02  
01/11/02

- |   |   |
|---|---|
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form<br><i>(Submit an original and a duplicate for fee processing)</i>   | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)  |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 29]   | 6. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>  |
| - Descriptive title of the Invention  | a. <input type="checkbox"/> Computer Readable Copy  |
| - Cross References to Related Applications  | b. <input type="checkbox"/> Paper Copy (identical to computer copy)   |
| - Statement Regarding Fed sponsored R&D   | c. <input type="checkbox"/> Statement verifying identity of above copies  |
| - Reference to Microfiche Appendix  |   |
| - Background of the Invention   |   |
| - Brief Summary of the Invention  |   |
| - Brief Description of the Drawings (if filed)  |   |
| - Detailed Description  |   |
| - Claim(s)  |   |
| - Abstract of the Disclosure  |   |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 3]  | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 4. Oath or Declaration [Total Pages 2]  | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| a. <input checked="" type="checkbox"/> Executed (original or copy)  | 9. <input type="checkbox"/> English Translation Document (if applicable)  |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br><i>(for continuation/divisional with Box 16 completed)</i>   | 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Preliminary Amendment  |
|   | 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(should be specifically itemized)</i>                                |
|   | 13. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>  |
|   | 14. <input type="checkbox"/> Other:   |

## 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

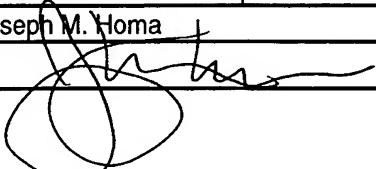
 Continuation     Divisional.     Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

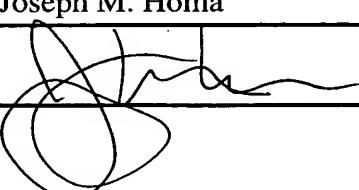
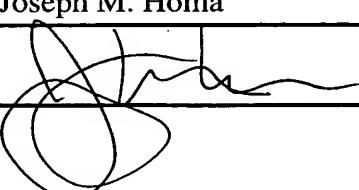
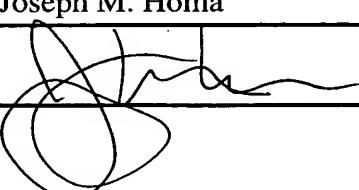
Customer Number or Bar Code Label 22928 or  Correspondence address below

NAME	Joseph M. Homa				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-9061	FAX	(607) 974-3848
Name (Print/Type)	Joseph M. Homa			Registration No. (Attorney/Agent)	40,023
Signature				Date	11 Jan 2002

# 52

## FEE TRANSMITTAL for FY 2001

<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) <b>1724.00</b>	<i>Complete if Known</i>	
			Application Number	To Be Assigned
			Filing Date	Herewith
			First Named Inventor	Antos, A. Joseph
			Examiner Name	To Be Assigned
			Group / Art Unit	To Be Assigned
			Attorney Docket Number	SP01-002

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																	
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">03-3325</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Corning Incorporated</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Large Entity</th> <th style="width: 10%;">Fee</th> <th style="width: 10%;">Fee</th> <th style="width: 60%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td></td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td></td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td></td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,240</td> <td></td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td></td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>600</td> <td></td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td></td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td></td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td></td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td></td> <td>Recording each patent assignment per property (times number of properties) _____ x</td> <td></td> </tr> <tr> <td>146</td> <td>710</td> <td></td> <td>Filing a submission after final rejection (37 C.F.R. § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td></td> <td>For each additional invention to be examined (37 C.F.R. § 1.129(b))</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: center;">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="3" style="text-align: center;">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="3" style="text-align: right;"><small>*Reduced by Basic Filing Fee Paid</small></td> <td colspan="2" style="text-align: right;"><b>SUBTOTAL (3) (\$)</b></td> </tr> <tr> <td colspan="3" style="padding: 5px;"><b>SUBMITTED BY</b></td> <td colspan="2" style="padding: 5px; text-align: center;"><b>Completed (if applicable)</b></td> </tr> <tr> <td colspan="2" style="padding: 5px;">Name (Print/Type)</td> <td colspan="2" style="padding: 5px;">Joseph M. Homa</td> <td style="padding: 5px;">Registration No. (Attorney/Agent)</td> </tr> <tr> <td colspan="2" style="padding: 5px;">Signature</td> <td colspan="2" style="padding: 5px;"></td> <td style="padding: 5px;">Date</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"></td> <td style="padding: 5px; text-align: right;">11 Jan 2002</td> </tr> </tbody></table>			Large Entity	Fee	Fee	Fee Description	Fee Paid	Code	(\$)				105	130		Surcharge - late filing fee or oath		127	50		Surcharge - late provisional filing fee or cover sheet		139	130		Non-English specification		147	2,520		For filing a request for reexamination		112	920*		Requesting publication of SIR prior to Examiner action		113	1,840		Requesting publication of SIR after Examiner action		115	110		Extension for reply within first month		116	390		Extension for reply within second month		117	890		Extension for reply within third month		118	1,390		Extension for reply within fourth month		128	1,890		Extension for reply within fifth month		119	310		Notice of Appeal		120	310		Filing a brief in support of an appeal		121	270		Request for oral hearing		138	1,510		Petition to institute a public use proceeding		140	110		Petition to revive - unavoidable		141	1,240		Petition to revive - unintentional		142	1,240		Utility issue fee (or reissue)		143	440		Design issue fee		144	600		Plant issue fee		122	130		Petitions to the Commissioner		123	50		Petitions related to provisional applications		126	240		Submission of Information Disclosure Stmt		581	40		Recording each patent assignment per property (times number of properties) _____ x		146	710		Filing a submission after final rejection (37 C.F.R. § 1.129(a))		149	710		For each additional invention to be examined (37 C.F.R. § 1.129(b))		Other fee (specify) _____					Other fee (specify) _____					<small>*Reduced by Basic Filing Fee Paid</small>			<b>SUBTOTAL (3) (\$)</b>		<b>SUBMITTED BY</b>			<b>Completed (if applicable)</b>		Name (Print/Type)		Joseph M. 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